

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x  
In re : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : 08-13555 (JMP)  
Debtors. : (Jointly Administered)  
: Ref. Docket Nos. 40485, 40523-  
40525, 40529, 40531, 40547, 40549,  
40574, 40581-40588, 40592, 40595,  
40608-40610, 40612

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On October 23, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
29<sup>th</sup> day of October, 2013

/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.  
08-13555 (JMP)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, L.P.  
TRANSFEROR: YORVIK PARTNERS LLP  
885 THIRD AVENUE, 34TH FLOOR  
NEW YORK NY 10022

Please note that your claim # 58786-18 in the above referenced case and in the amount of  
\$0.00 allowed at \$68,085.91 has been transferred (unless previously expunged by court order)

WILSHIRE INSTITUTIONAL MASTER FUND II SPC  
TRANSFEROR: ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, L.P.  
C/O ALDEN GLOBAL CAPITAL  
ATTN: ITHRAN OLIVACCE  
885 THIRD AVENUE, 34TH FLOOR  
NEW YORK NY 10022

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 40485 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 10/23/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on October 23, 2013.

**EXHIBIT B**

Address

Name	ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: YORVIK PARTNERS LLP 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022	TRANSFEE: DUNGAN PARTNERS LLP C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022
	BERNESE CAPITAL, L.L.C.	RETTLER KAILAS & ROSENBLATT LLC ATTN: CHRIS EDWARDS 885 THIRD AVENUE, 20TH FLOOR NEW YORK NY 10022	RETTLER KAILAS & ROSENBLATT LLC ATTN: CHRIS EDWARDS 885 THIRD AVENUE, 20TH FLOOR NEW YORK NY 10022
	BRM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS, LLC PO BOX 431 NEW YORK NY 10150	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS, LLC PO BOX 431 NEW YORK NY 10150
	BLACKSTONE ALTERNATIVE MULTI-MANAGER SUB FUND IV L.L.C.	TRANSFEROR: RBS SECURITIES, INC. C/O DAVIDSON KEMPFNER CAPITAL MANAGEMENT ATTN: JENNIFER DONOVAN 65 EAST 55TH STREET NEW YORK NY 10022	TRANSFEROR: RBS SECURITIES, INC. C/O DAVIDSON KEMPFNER CAPITAL MANAGEMENT ATTN: JENNIFER DONOVAN 65 EAST 55TH STREET NEW YORK NY 10022
	BROOKE FINANCIAL SERVICES LTD	TRANSFEROR: DEUTSCHE BANK AG ATTN: SUSAN LANCASTER 767 FIFTH AVENUE, 45TH FLOOR NEW YORK NY 10153	TRANSFEROR: DEUTSCHE BANK AG ATTN: SUSAN LANCASTER 767 FIFTH AVENUE, 45TH FLOOR NEW YORK NY 10153
		CHADBOURNE & PARKE LLP ATTN: CHRISTY RIVERA, ESQ. 30 ROCKEFELLER PLAZA NEW YORK NY 10112	CHADBOURNE & PARKE LLP ATTN: CHRISTY RIVERA, ESQ. 30 ROCKEFELLER PLAZA NEW YORK NY 10112
		PORTFOLIO DE INVERSIONES C2-34, C.A. C/O CHADBOURNE & PARKE LLP ATTN: GREGORY RODRIGUEZ 30 ROCKEFELLER PLAZA NEW YORK NY 10112	PORTFOLIO DE INVERSIONES C2-34, C.A. C/O CHADBOURNE & PARKE LLP ATTN: GREGORY RODRIGUEZ 30 ROCKEFELLER PLAZA NEW YORK NY 10112
	COAL STAFF SUPERANNULATION SCHEME	DAVID AMAN, ESQ. CLEARY GOTTLIEB STEEN & HAMILTON LLP ONE LIBERTY PLAZA NEW YORK NY 10006	DAVID AMAN, ESQ. CLEARY GOTTLIEB STEEN & HAMILTON LLP ONE LIBERTY PLAZA NEW YORK NY 10006
	TRUSTEES LIMITED ACTING AS TRUSTEES	OF THE BRITISH COAL STAFF SUPERANNULATION SCHEME (100308) C/O GOLDMAN SACHS ASSET MANAGEMENT INTERNATIONAL 120 FLEET STREET RIVER COURT LONDON EC4A 2BE UNITED KINGDOM	OF THE BRITISH COAL STAFF SUPERANNULATION SCHEME (100308) C/O GOLDMAN SACHS ASSET MANAGEMENT INTERNATIONAL 120 FLEET STREET RIVER COURT LONDON EC4A 2BE UNITED KINGDOM
	COAL STAFF SUPERANNULATION SCHEME	CLEARY GOTTLIEB STEEN & HAMILTON LLP ATTN: DAVID AMAN, ESQ. ONE LIBERTY PLAZA NEW YORK NY 10006	CLEARY GOTTLIEB STEEN & HAMILTON LLP ATTN: DAVID AMAN, ESQ. ONE LIBERTY PLAZA NEW YORK NY 10006
	TRUSTEES LIMITED ACTING AS TRUSTEES	COAL STAFF SUPERANNULATION SCHEME (100308) C/O GOLDMAN SACHS ASSET MANAGEMENT INTERNATIONAL 120 FLEET STREET RIVER COURT LONDON EC4A 2BE UNITED KINGDOM	COAL STAFF SUPERANNULATION SCHEME (100308) C/O GOLDMAN SACHS ASSET MANAGEMENT INTERNATIONAL 120 FLEET STREET RIVER COURT LONDON EC4A 2BE UNITED KINGDOM
	COAL STAFF SUPERANNULATION SCHEME	DAVID AMAN, ESQ. ONE LIBERTY PLAZA NEW YORK NY 10006	DAVID AMAN, ESQ. ONE LIBERTY PLAZA NEW YORK NY 10006
	CVI CVF II LUX MASTER S.A.R.L.	LIMITED ACTING AS TRUSTEE OF THE BRITISH COAL STAFF SUPERANNULATION SCHEME (100308) C/O GOLDMAN SACHS ASSET MANAGEMENT INTERNATIONAL 120 FLEET STREET RIVER COURT LONDON EC4A 2BE UNITED KINGDOM	LIMITED ACTING AS TRUSTEE OF THE BRITISH COAL STAFF SUPERANNULATION SCHEME (100308) C/O GOLDMAN SACHS ASSET MANAGEMENT INTERNATIONAL 120 FLEET STREET RIVER COURT LONDON EC4A 2BE UNITED KINGDOM
	DEUTSCHE BANK AG	120 FLEET STREET RIVER COURT LONDON EC4A 2BE UNITED KINGDOM	120 FLEET STREET RIVER COURT LONDON EC4A 2BE UNITED KINGDOM
	DEUTSCHE BANK AG	ATTN: CHIP GOODRICH, ESQ. 60 WALL STREET NEW YORK NY 10005-2858	ATTN: CHIP GOODRICH, ESQ. 60 WALL STREET NEW YORK NY 10005-2858
	GOLDMAN SACHS LENDING PARTNERS LLC	BINGHAM MCUTCHEON LLP ATTN: RANAN WELL, ESQ. 2020 K STREET, NW WASHINGTON DC 20006	BINGHAM MCUTCHEON LLP ATTN: RANAN WELL, ESQ. 2020 K STREET, NW WASHINGTON DC 20006
	GOLDMAN SACHS LENDING PARTNERS, LLC	TRANSFEROR: OZ SPECIAL MASTER FUND, LTD. C/O GOLDMAN, SACHS & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302	TRANSFEROR: OZ SPECIAL MASTER FUND, LTD. C/O GOLDMAN, SACHS & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
	INTERNATIONAL ASSETS ADVISORY, LLC	TRANSFEROR: BROOKE FINANCIAL SERVICES LTD 300 SOUTH ORANGE AVENUE SUITE #1100 ORLANDO FL 32801	TRANSFEROR: BROOKE FINANCIAL SERVICES LTD 300 SOUTH ORANGE AVENUE SUITE #1100 ORLANDO FL 32801
	J. P. MORGAN SECURITIES LLC	TRANSFEROR: INTERNATIONAL ASSETS ADVISORY, LLC ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE - FLOOR 37	TRANSFEROR: INTERNATIONAL ASSETS ADVISORY, LLC ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE - FLOOR 37
	MACQUARIE BANK LIMITED	ROBERT SCHEININGER SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019	ROBERT SCHEININGER SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019
	MACQUARIE BANK LIMITED	TRANSFEROR: COAL STAFF SUPERANNULATION SCHEME (103285) ATTN: ROBERT SCHEININGER SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019	TRANSFEROR: COAL STAFF SUPERANNULATION SCHEME (103285) ATTN: ROBERT SCHEININGER SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019
	MACQUARIE BANK LIMITED	AS TRUSTEES OF THE BRITISH COAL STAFF SUPERANNULATION SCHEME (103285) ATTN: ROBERT SCHEININGER SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019	AS TRUSTEES OF THE BRITISH COAL STAFF SUPERANNULATION SCHEME (103285) ATTN: ROBERT SCHEININGER SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019
	OZ SPECIAL MASTER FUND, LTD.	TRANSFEROR: COAL STAFF SUPERANNULATION SCHEME TRUSTEES ACTING AS TRUSTEE OF THE BRITISH COAL STAFF SUPERANNULATION SCHEME (100808)	TRANSFEROR: COAL STAFF SUPERANNULATION SCHEME TRUSTEES ACTING AS TRUSTEE OF THE BRITISH COAL STAFF SUPERANNULATION SCHEME (100808)
	RBS SECURITIES INC.	ATIN: SHAWN GEMBALA 125 WEST 55TH STREET NEW YORK NY 10019	ATIN: SHAWN GEMBALA 125 WEST 55TH STREET NEW YORK NY 10019
	RBS SECURITIES, INC.	TRANSFEROR: STATE BOARD OF ADMINISTRATION OF FLORIDA (103233) ATTN: SHAWN GEMBALA 125 WEST 55TH STREET NEW YORK NY 10019	TRANSFEROR: STATE BOARD OF ADMINISTRATION OF FLORIDA (103233) ATTN: SHAWN GEMBALA 125 WEST 55TH STREET NEW YORK NY 10019
	SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O OCH-ZIFF CAPITAL MANAGEMENT GROUP	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O OCH-ZIFF CAPITAL MANAGEMENT GROUP
	SL TRADE CLAIM I LLC	ATIN: SEAN FORONTY, ALISON MCDEVITT, ALEXANDER KHOLODNY 9 WEST 57TH STREET, 13TH FLOOR NEW YORK NY 10019	ATIN: SEAN FORONTY, ALISON MCDEVITT, ALEXANDER KHOLODNY 9 WEST 57TH STREET, 13TH FLOOR NEW YORK NY 10019
	SL TRADE CLAIM I LLC	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O OCH-ZIFF CAPITAL MANAGEMENT GROUP	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O OCH-ZIFF CAPITAL MANAGEMENT GROUP
	STATE BOARD OF ADMINISTRATION OF FLORIDA (103233)	ATIN: SEAN FORONTY; ALISON MCDEVITT; ALEXANDER KHOLODNY 9 WEST 57TH STREET, 13TH FLOOR NEW YORK NY 10019	ATIN: SEAN FORONTY; ALISON MCDEVITT; ALEXANDER KHOLODNY 9 WEST 57TH STREET, 13TH FLOOR NEW YORK NY 10019
		TRANSFEROR: CENTRAL REINSURANCE CORP. ATTN: MATTHEW ROSENCRANS 600 WASHINGTON BLVD. STAMFORD CT 06901	TRANSFEROR: CENTRAL REINSURANCE CORP. ATTN: MATTHEW ROSENCRANS 600 WASHINGTON BLVD. STAMFORD CT 06901
		TRANSFEROR: CENTRAL REINSURANCE CORP. ATIN: MATTHEW ROSENCRANS 600 WASHINGTON BLVD. STAMFORD CT 06901	TRANSFEROR: CENTRAL REINSURANCE CORP. ATIN: MATTHEW ROSENCRANS 600 WASHINGTON BLVD. STAMFORD CT 06901
		TRANSFEROR: LONGACRE OPPORTUNITY FUND, L.P. C/O SERENGETI ASSET MANAGEMENT LP ATTN: S CHAUDHURY; 6332 BROADWAY, 12TH FL NEW YORK NY 10012	TRANSFEROR: LONGACRE OPPORTUNITY FUND, L.P. C/O SERENGETI ASSET MANAGEMENT LP ATTN: S CHAUDHURY; 6332 BROADWAY, 12TH FL NEW YORK NY 10012
		TRANSFEROR: SERENGETI OVERSEAS LTD. C/O SERENGETI ASSET MANAGEMENT LP ATTN: S CHAUDHURY; 6332 BROADWAY, 12TH FL NEW YORK NY 10012	TRANSFEROR: SERENGETI OVERSEAS LTD. C/O SERENGETI ASSET MANAGEMENT LP ATTN: S CHAUDHURY; 6332 BROADWAY, 12TH FL NEW YORK NY 10012
		TRANSFEROR: LONGACRE OPPORTUNITY FUND, L.P. ATTN: SHAKER CHAUDHURY 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012	TRANSFEROR: LONGACRE OPPORTUNITY FUND, L.P. ATTN: SHAKER CHAUDHURY 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012
		TRANSFEROR: LONGACRE OPPORTUNITY FUND, L.P. C/O SERENGETI ASSET MANAGEMENT LP ATTN: S CHAUDHURY; 6332 BROADWAY, 12TH FL NEW YORK NY 10012	TRANSFEROR: LONGACRE OPPORTUNITY FUND, L.P. C/O SERENGETI ASSET MANAGEMENT LP ATTN: S CHAUDHURY; 6332 BROADWAY, 12TH FL NEW YORK NY 10012
		TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P. C/O SERENGETI ASSET MANAGEMENT LP, AS INVESTMENT ADVISER ATTN: ERIN ROGERS 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P. C/O SERENGETI ASSET MANAGEMENT LP, AS INVESTMENT ADVISER ATTN: ERIN ROGERS 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012
		TRANSFEROR: SERENGETI OPPORTUNITIES PARTNERS, LP C/O SERENGETI ASSET MANAGEMENT LP, AS INVESTMENT ADVISER ATTN: ERIN ROGERS 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012	TRANSFEROR: SERENGETI OPPORTUNITIES PARTNERS, LP C/O SERENGETI ASSET MANAGEMENT LP, AS INVESTMENT ADVISER ATTN: ERIN ROGERS 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012
		CLEARY GOTTLIEB STEEN & HAMILTON LLP ATTN: DAVID AMAN, ESQ. ONE LIBERTY PLAZA NEW YORK NY 10006	CLEARY GOTTLIEB STEEN & HAMILTON LLP ATTN: DAVID AMAN, ESQ. ONE LIBERTY PLAZA NEW YORK NY 10006

Name Address

STATE BOARD OF ADMINISTRATION OF FLORIDA C/O GOLDMAN SACHS ASSESS MANAGEMENT INTERNATIONAL ATTN: BENJAMIN ROBBINSON CHRISTCHURCH COURT 10-15 NEWGATE STREET  
(10323) LONDON EC1A 7HD UNITED KINGDOM  
VARDE CREDIT PARTNERS MASTER, L.P. TRANSFEROR: J. P. MORGAN SECURITIES LLC ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 MINNEAPOLIS MN 55437  
VARDE FUND VI-A LP, THE TRANSFEROR: J. P. MORGAN SECURITIES LLC ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BLVD, STE 1500 MINNEAPOLIS MN 55437  
VARDE FUND X (MASTER) LP, THE TRANSFEROR: J. P. MORGAN SECURITIES LLC ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BLVD, STE 1500 MINNEAPOLIS MN 55437  
VARDE FUND XI (MASTER) , L.P., THE TRANSFEROR: J. P. MORGAN SECURITIES LLC ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 MINNEAPOLIS MN 55437  
VARDE INVESTMENT PARTNERS (OFFSHORE) MASTER, L.P. TRANSFEROR: J. P. MORGAN SECURITIES LLC ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BLVD., STE 1500 MINNEAPOLIS MN 55437  
VARDE INVESTMENT PARTNERS, LP TRANSFEROR: ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, L.P. C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACE  
WILLSHIRE INSTITUTIONAL MASTER FUND II 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022  
SFC TRANSFEROR: ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, LP. C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACE  
WILLSHIRE INSTITUTIONAL MASTER FUND II 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022  
SPC

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